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Series 79 topics. The Series 79 exam broadly covers the following topics: Data collection (required SEC filings and other documents) Various types of securities (debt, equity, options, derivatives) Economics and capital markets; Financial Analysis; Valuation; M&A Process and Deal Structure

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How to Pass the FINRA Series 79 Top-Off Exam

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The Series 79 Content Outline provides a comprehensive guide to the range of topics covered on the exam, as well as the depth of knowledge required. The outline is comprised of the three major job functions of an investment banking representative. The table below lists the allocation of exam items for each major job function.

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